

2014 Findings and Decisions Regarding Investigations – Direct Contributions

Dates (Note 1)	Contributor	Recipient	Circumstances (Note 2)	Direct Contribution Amount (Note 3)	Penalty issued by CEO to the Contributor (Note 4)	Return of Contribution by the Recipient (Note 5)
Commenced: September 2013 Concluded: January 2014	County of Stettler No. 6	Progressive Conservative Candidate in the Electoral Division of Drumheller-Stettler	The County, a prohibited corporation in accordance with section 1(1)(l)(ii), was accused of violating the <i>EFCDA</i> for making a valued contribution to the candidate's campaign in April 2012	Undetermined	None	Not applicable. The investigation revealed that the allegation was unfounded. There was no evidence that the County made valued contributions to the campaign. This information is posted at the request of the alleged contributor, in accordance with section 5.2(3)(b).
Commenced: September 2013 Concluded: January 2014	Larry E McConnell Professional Corporation Camp-In-Town Inc.	Wildrose Alliance Party Candidate in the Electoral Division of Whitecourt-Ste. Anne.	Larry E McConnell Professional Corporation and Camp-In-Town Inc. were deemed a single corporation, in accordance with section 1(3), and violated section 17(1)(b)(ii) by making an over-contribution in the 2012 Provincial General Election.	\$4,000	Letter of Reprimand	The candidate was ordered to return the excessive contribution amount of \$2,000.00. The refund was issued. The investigation revealed that the candidate did not violate section 19 of the <i>EFCDA</i> . This information is posted in accordance with section 5.2(3)(a).
Commenced: October 2013 Concluded: May 2014	Dynasty Builders Inc. Landrex Inc. Summit Centre Inc.	Six Wildrose Alliance Party (WRP) candidates received contributions from Dynasty Builders Inc. Two WRP candidates received contributions from Summit Centre Inc. One WRP candidate received contributions from Landrex Inc.	Dynasty Builders Inc., Landrex Inc. and Summit Centre Inc. were deemed a single corporation, in accordance with section 1(3), and violated section 17(1)(b)(ii) by making an over-contribution in the 2012 Provincial General Election.	\$14,500	An administrative penalty in the amount of \$1,625.00 was issued to the contributor(s) and was received.	The WRP returned the excessive contribution of \$4,500 voluntarily upon receipt of notification that the contribution was made in contravention of the <i>EFCDA</i> . The investigation revealed that the candidate(s) did not violate section 19 of the <i>EFCDA</i> . This information is posted in accordance with section 5.2(3)(a).
Commenced: September 2013 Concluded: April 2014	Sam-X Global Inc. Sam-X Logistics Ltd.	Wildrose Alliance Party Calgary-Cross Constituency Association.	Sam-X Global Inc. and Sam-X Logistics Ltd. were deemed a single corporation, in accordance with section 1(3), and violated section 17(1)(a)(ii) by making an over-contribution in the 2011 calendar year.	\$1,400	An administrative penalty in the amount of \$200 was issued to the contributor(s) and was received.	The constituency association was ordered to return the excessive contribution amount of \$400.00. The refund was issued. The investigation revealed that the constituency association did not violate section 19 of the <i>EFCDA</i> . This information is posted in accordance with section 5.2(3)(a).

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Commenced: September 2013 Concluded: May 2014	Mehak Holdings Ltd. Watno Dur Punjabi Ltd.	Wildrose Alliance Party Candidate in the Electoral Division of Calgary-Cross.	Mehak Holdings Ltd. and Watno Dur Punjabi Ltd. were deemed a single corporation, in accordance with section 1(3), and violated section 17(1)(b)(ii) by making an over-contribution in the 2012 Provincial General Election.	\$3,740	An administrative penalty in the amount of \$435 was issued to the contributor(s) and was not received, therefore it was submitted to Crown Debt Collections for resolution.	The candidate was ordered to return the excessive contribution amount of \$1,740.00. The refund(s) was issued . The investigation revealed that the candidate did not violate section 19 of the <i>EFDA</i> (to be confirmed). This information is posted in accordance with section 5.2(3)(a).
Commenced: September 2013 Concluded: May 2014	Luddu Enterprises Ltd. Alberta Des Punjab Times Ltd.	Wildrose Alliance Party Candidate in the Electoral Division of Calgary-Cross.	Luddu Enterprises Ltd. and Alberta Des Punjab Times Ltd. were deemed a single corporation, in accordance with section 1(3), and violated section 17(1)(b)(ii) by making an over-contribution in the 2012 Provincial General Election.	\$2,646	An administrative penalty in the amount of \$323 was issued to the contributor(s) and was not received, therefore it was submitted to Crown Debt Collections for resolution.	The candidate was ordered to return the excessive contribution amount of \$645.99. The refund was issued. The investigation revealed that the constituency association did not violate section 19 of the <i>EFDA</i> . This information is posted in accordance with section 5.2(3)(a).
Commenced: August 2013 Concluded: March 2014	GJN Holdings Inc. HNJG Holdings Inc.	Wildrose Alliance Party Calgary-Cross Constituency Association.	GJN Holdings Inc. and HNJG Holdings Inc. were deemed a single corporation, in accordance with section 1(3), and violated section 17(1)(a)(ii) by making an over-contribution in the 2011 calendar year.	\$1,750	An administrative penalty in the amount of \$187.50 was issued to the contributor(s) and was received.	The constituency association was ordered to return the excessive contribution amount of \$750.00. The refund was issued. The investigation revealed that the constituency association did not violate section 19 of the <i>EFDA</i> . This information is posted in accordance with section 5.2(3)(a).
Commenced: September 2013 Concluded: April 2014	Royal Oak Prime Funds Inc. Prime Funds Realty Inc. Prime Funds Real Estate Investment Corporation	Wildrose Alliance Party Calgary-Cross Constituency Association.	Royal Oak Prime Funds Inc., Prime Funds Realty Inc. and Prime Funds Real Estate Investment Corporation were deemed to be a single corporation, in accordance with section 1(3) and violated section 17(1)(a)(ii) by making an over-contribution in the 2011 calendar year.	\$2,275	Letter of Reprimand	The constituency association was ordered to return the excessive contribution amount of \$1,275 The refund was issued. The investigation revealed that the constituency association did not violate section 19 of the <i>EFDA</i> . This information is posted in accordance with section 5.2(3)(a).

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Commenced: January 2014 Concluded: June 2014	Gail J Broadbent Professional Corporation 1401474 Alberta Ltd.	Wildrose Alliance Party Candidate in the Electoral Division of Lac La Biche-St. Paul-Two Hills.	Gail J Broadbent Professional Corporation and 1401474 Alberta Ltd. were deemed a single corporation, in accordance with section 1(3), and violated section 17(1)(b)(ii) by making an over-contribution in the 2012 Provincial General Election.	\$2,440	An administrative penalty in the amount of \$220 was issued to the contributor(s) and has not been received.	The candidate was ordered to return the excessive amount of \$440.00. The refund was issued. The investigation revealed that the candidate did not violate section 19 of the <i>EFCDA</i> . This information is posted in accordance with section 5.2(3)(a).
Commenced: February 2014 Concluded: June 2014	Sympatico Developments Ltd. FOSCA Investments Ltd.	Wildrose Alliance Party Candidate in the Electoral Division of Edmonton-Castle Downs.	Sympatico Developments Ltd. and FOSCA Investments Ltd. were deemed a single corporation, in accordance with section 1(3), and violated section 17(1)(b)(ii) by making an over-contribution in the 2012 Provincial General Election.	\$3,904.85	Letter of Reprimand	The candidate was ordered to return the excessive contribution amount of \$1,904.85. The refund was issued. The investigation revealed that the candidate did not violate section 19 of the <i>EFCDA</i> . This information is posted in accordance with section 5.2(3)(a).
Commenced: February 2014 Concluded: June 2014	Big Rock Energy Services Ltd. 893715 Alberta Ltd.	Wildrose Alliance Party Candidate in the Electoral Division of Olds-Didsbury-Three Hills.	Big Rock Energy Services Ltd. and 893715 Alberta Ltd. were deemed a single corporation, in accordance with section 1(3), and violated section 17(1)(b)(ii) by making an over-contribution in the 2012 Provincial General Election.	\$4,000	Letter of Reprimand	The candidate was ordered to return the excessive contribution amount of \$2,000. The refund was issued. The investigation revealed that the candidate did not violate section 19 of the <i>EFCDA</i> . This information is posted in accordance with section 5.2(3)(a).
Commenced: February 2014 Concluded: July 2014	BKW Enterprises Ltd. 620884 Alberta Ltd.	Progressive Conservative Association of Alberta Candidate in the Electoral Division of Fort McMurray-Conklin.	BKW Enterprises Ltd. and 620884 Alberta Ltd. were deemed a single corporation, in accordance with section 1(3), and violated section 17(1)(b)(ii) by making an over-contribution in the 2012 Provincial General Election.	\$4,000	\$500 administrative penalty (issued and received)	The candidate was ordered to return the excessive contribution amount of \$2,000. The refund was issued. The investigation revealed that the candidate did not violate section 19 of the <i>EFCDA</i> . This information is posted in accordance with section 5.2(3)(a).

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Commenced: March 2014 Concluded: June 2014	H. Lafave	Wildrose Alliance Party Edmonton-Strathcona Constituency Association.	Constituency Association accepted an excessive contribution from an individual in the 2012 Provincial General Election, in violation of sections 17(1)(a)(ii) of the <i>EFDA</i> .	\$2,000	Letter of Reprimand	The Constituency Association returned the excessive contribution of \$1,000 voluntarily upon receipt of notification that the contribution was made in contravention of the <i>EFDA</i> . This information is posted in accordance with section 5.2(3)(a).
Commenced: March 2014 Concluded: June 2014	GenMec ACL Inc.	Wildrose Alliance Party Bonnyville-Cold Lake Constituency Association.	Constituency Association accepted an excessive contribution from a corporation in the 2012 Provincial General Election, in violation of sections 17(1)(a)(ii) and 19 of the <i>EFDA</i> .	\$2,000	Letter of Reprimand	The Constituency Association returned the excessive contribution of \$1,000 voluntarily upon receipt of notification that the contribution was made in contravention of the <i>EFDA</i> . This information is posted in accordance with section 5.2(3)(a).
Commenced: March 2014 Concluded: October 2014	Reform Energy Services Corp.	Wildrose Alliance Party Grande Prairie-Smoky Constituency Association.	Constituency Association accepted an excessive contribution from a corporation in the 2012 Provincial General Election, in violation of sections 17(1)(a)(ii) and 19 of the <i>EFDA</i> .	\$2,000	Letter of Reprimand	The Constituency Association returned the excessive contribution of \$1,000 voluntarily upon receipt of notification that the contribution was made in contravention of the <i>EFDA</i> . This information is posted in accordance with section 5.2(3)(a).
Commenced: March 2014 Concluded: July 2014	Thomas Hewitt	Wildrose Alliance Party Highwood Constituency Association.	Constituency Association accepted an excessive contribution from an individual in the 2012 Provincial General Election, in violation of sections 17(1)(a)(ii) and 19 of the <i>EFDA</i> .	\$2,000	Letter of Reprimand	Unable to locate contributor; the Constituency Association returned the excessive contribution of \$1,000 to Elections Alberta. This information is posted in accordance with section 5.2(3)(a).

Note 1:

Dates shown refer to the commencement and conclusion of the investigation.

The date commenced will reflect the date shown on the initial complaint, if the investigation was initiated by an external source. If the investigation was initiated by the Chief Electoral Officer, the date the decision was made to investigate will appear.

If an allegation regarding an excessive or prohibited contribution is founded, an investigation is commenced to determine whether the recipient violated the EFCDA. In this case, the date concluded will reflect the date that the investigation into the recipient's acceptance of the contribution was completed and appropriate notice was provided to those involved.

If an allegation is unfounded, the date concluded will reflect the date that appropriate notice was provided to those involved.

Note 2:

Disclosure is limited, by law, to violations that occurred on or after December 10, 2009. Results of investigations of alleged violations that occurred prior to that date cannot be disclosed, in accordance with the confidentiality provisions of the *Election Finances and Contributions Disclosure Act* (the Act).

Section references refer to the *Election Finances and Contributions Disclosure Act* (the Act).

Note 3:

*Section 23 of the Act prescribes that if the individual charge for a ticket to a fundraising function is more than \$50, the expense portion is \$25 and the balance is deemed to be a contribution (*effective to December 31, 2012*).

Note 4:

Several criteria were considered in the assessment of penalties, including:

- Materiality/severity
- Number of violations
- Cooperation/self-reporting
- Due diligence/policies established to ensure compliance

Note 5:

Effective April 22, 2010, the Chief Electoral Officer had statutory authority to order a political entity to return prohibited contributions to the contributor, in accordance with section 51.1 of the Act. Prior to that, the political entity was advised to consider the voluntary return of a prohibited contribution.

An order is made when it is determined that a prohibited corporation made a contribution in violation of the Act. An order does not indicate any finding of statutory violation on the part of the political entity by the Chief Electoral Officer.

This information is updated to reflect contributions that have been returned.