



2013

ANNUAL REPORT of the Chief Electoral Officer

The Election Finances and Contributions Disclosure Act
For the 2013 Calendar Year



chief electoral office

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October 2014

Mr. Matt Jeneroux, Chair
Standing Committee on Legislative Offices
Legislature Annex
Edmonton, Alberta T5K 1E4

Dear Mr. Jeneroux:

It is an honour to submit to the Standing Committee on Legislative Offices through you, Mr. Chair, Elections Alberta's 2013 Annual Report on the *Election Finances and Contributions Disclosure Act*.

This Report is submitted pursuant to Section 4(2) of the *Election Finances and Contributions Disclosure Act*, Revised Statutes of Alberta 2000, Chapter E-2. The Report also includes this Office's financial statements as at March 31, 2014.

Should you require clarification or additional information, I would be pleased to respond.

Sincerely,

Glen Resler
Chief Electoral Officer

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MESSAGE FROM THE CHIEF ELECTORAL OFFICER

I was honored to be appointed as Alberta's seventh Chief Electoral Officer on December 9, 2013. I wish to acknowledge the diligent work of Elections Alberta staff in fulfilling the mission of this Office during the busy 2013 calendar year.

Among the many activities during 2013, a major focus involved updating and enhancing existing resources, and implementing new systems to serve a wide variety of stakeholders. These activities were consequential to the *Election Accountability Amendment Act, 2012* which received Royal Assent on December 10, 2012 and which saw over 100 legislative amendments to the *Election Finances and Contributions Disclosure Act* (the *EFDA*), *Election Act*, and *Senatorial Selection Act*.

Amendments to the *EFDA* had a significant and immediate impact on political parties and constituency associations in particular. Increased financial reporting required of political parties and constituency associations compelled the development of new resources to assist volunteers in meeting their responsibilities and to allow Elections Alberta to manage financial reporting volumes which quadrupled from approximately 400 to 2,000 per year. Increased investigative powers of the Chief Electoral Officer resulted in dozens of investigations into political contributions and public disclosure of findings. As well, Elections Alberta is now responsible for the oversight of political party leadership contest registrations, reporting and disclosure which required the creation of new forms and guides to support stakeholders.

Other significant activities during the year included the ongoing desk audits of over 1,200 financial statements received from political entities during 2012 and 2013.

Overall, the legislative amendments have increased financial reporting and accountability required of political entities, and subsequent disclosure and enforcement required of this Office. Elections Alberta takes an advisory and educational approach to enforcing compliance, in which constant communication with stakeholders is key.

Looking forward, my primary goals are to introduce best practices and new technologies to better serve the stakeholders of this province. This will involve new initiatives such as automation of financial submissions, improved data access through our public website and an increased focus on compliance of financial reporting.

Glen Resler
Chief Electoral Officer

1 *Section One*

THE 2013 CALENDAR YEAR

OVERVIEW

The following were some of the major requirements and activities of political parties, constituency associations, third parties, and leadership contests for the 2013 calendar year:

- 9 political parties were required to file quarterly reports and annual financial statements
- 3 political parties were required to file annual financial statements related to senatorial selection
- 384 constituency associations were required to file quarterly reports and annual financial statements
- 3 third parties were required to file annual advertising reports
- 1 political party was required to register a leadership contest and 2 leadership contestants were registered and required to file financial statements

Details on the status of registrations and financial statements received, including contributions, revenue and expenses reported, are provided on the following pages.

POLITICAL PARTIES AND CONSTITUENCY ASSOCIATIONS

REGISTRATION

Political Parties

As at December 31, 2013, there were nine (9) political parties included in the Register of Political Parties maintained by Elections Alberta in accordance with Section 7(1) of the *EFDA*.

During 2013, Elections Alberta approved requests from the Wildrose Alliance Party (WAP) that their abbreviation be changed to (WRP), and from the Separation Party of Alberta (SPA) that their name and abbreviation be changed to Alberta First Party (AFP). Registration information for the nine political parties as at December 31, 2013 is listed below:

Political Party (Abbreviation)	Date Registered	President	Chief Financial Officer
Alberta First Party (AFP)	November 2, 1999	Glen Dundas	Bridget Cuthbertson
Alberta Liberal Party (LIB)	February 3, 1978	Todd Van Vliet	James (Toby) Ramsden
Alberta New Democratic Party (NDP)	January 3, 1978	Nancy Furlong	Brian Stokes
Alberta Party (AP)	October 30, 1990	William Munsey	Michael McLaughlin
Alberta Social Credit Party (SC)	January 6, 1978	Helge Nome	Gordon Barrett
Communist Party – Alberta (CP-A)	January 31, 1979	Naomi Rankin (Leader)	Blyth Nuttal
Green Party of Alberta (GPA)	December 22, 2011	Susan Stratton	David Crowe
Progressive Conservative Association of Alberta (PC)	January 3, 1978	Jim McCormick	Ron Renaud
Wildrose Alliance Party (WRP)	October 25, 2002	David Yager	Rob Solinger

Reserved Political Party Names

One (1) reserved political party name was held on file as at December 31, 2013. The applicant proposed to form a registered political party in accordance with the provisions of the *EFDA*, and has been advised that one of the primary requirements for registration is the completion of a petition under Section 6(2)(d) of the *EFDA*. The petition must contain a minimum of 7,163 signatures of eligible electors, which represents 0.3% of the number of electors that were eligible to vote at the 2012 Provincial General Election.

Constituency Associations

During the 2013 calendar year, two (2) applications for initial registration were approved:

Wildrose Alliance Party – Edmonton-South West

Alberta Social Credit Party – Innisfail-Sylvan Lake

As at December 31, 2013 there were 384 registered constituency associations. The chart below outlines the number per political party.

AFP	LIB	NDP	AP	SC	CP-A	GPA	PC	WRP	TOTAL
1	87	87	32	3	0	0	87	87	384

Deregistrations and Reregistrations

During the 2013 calendar year, there were no constituency association deregistrations, or reregistrations of previously deregistered constituency associations.

Below is a chart showing the registration status of constituency associations of each political party by electoral division.

Status of Constituency Associations as at December 31, 2013

“R” = registered, “-” = not registered, “X” = deregistered in reporting year

Constituency Association	AFP	LIB	NDP	AP	SC	PC	WRP
1 Dunvegan-Central Peace-Notley	-	R	R	-	-	R	R
2 Lesser Slave Lake	-	R	R	-	-	R	R
3 Calgary-Acadia	-	R	R	-	-	R	R
4 Calgary-Bow	-	R	R	R	-	R	R
5 Calgary-Buffalo	-	R	R	R	-	R	R
6 Calgary-Cross	-	R	R	-	-	R	R
7 Calgary-Currie	-	R	R	R	-	R	R
8 Calgary-East	-	R	R	-	-	R	R
9 Calgary-Elbow	-	R	R	R	-	R	R
10 Calgary-Fish Creek	-	R	R	-	-	R	R
11 Calgary-Foothills	-	R	R	-	-	R	R
12 Calgary-Fort	-	R	R	-	-	R	R
13 Calgary-Glenmore	-	R	R	-	-	R	R
14 Calgary-Greenway	-	R	R	-	-	R	R
15 Calgary-Hawkwood	-	R	R	R	-	R	R
16 Calgary-Hays	-	R	R	-	-	R	R
17 Calgary-Klein	-	R	R	-	-	R	R
18 Calgary-Lougheed	-	R	R	-	-	R	R

Status of Constituency Associations as at December 31, 2013

“R” = registered, “-” = not registered, “X” = deregistered in reporting year

Constituency Association	AFP	LIB	NDP	AP	SC	PC	WRP
19 Calgary-Mackay-Nose Hill	-	R	R	R	-	R	R
20 Calgary-McCall	-	R	R	-	-	R	R
21 Calgary-Mountain View	-	R	R	R	-	R	R
22 Calgary-North West	-	R	R	-	-	R	R
23 Calgary-Northern Hills	-	R	R	-	-	R	R
24 Calgary-Shaw	-	R	R	R	-	R	R
25 Calgary-South East	-	R	R	-	-	R	R
26 Calgary-Varsity	-	R	R	-	-	R	R
27 Calgary-West	-	R	R	-	-	R	R
28 Edmonton-Beverly-Clareview	-	R	R	-	-	R	R
29 Edmonton-Calder	-	R	R	-	R	R	R
30 Edmonton-Castle Downs	-	R	R	R	-	R	R
31 Edmonton-Centre	-	R	R	R	-	R	R
32 Edmonton-Decore	-	R	R	-	-	R	R
33 Edmonton-Ellerslie	-	R	R	R	-	R	R
34 Edmonton-Glenora	-	R	R	R	-	R	R
35 Edmonton-Gold Bar	-	R	R	R	-	R	R
36 Edmonton-Highlands-Norwood	-	R	R	R	-	R	R
37 Edmonton-Manning	-	R	R	-	-	R	R
38 Edmonton-McClung	-	R	R	R	-	R	R
39 Edmonton-Meadowlark	-	R	R	R	-	R	R
40 Edmonton-Mill Creek	-	R	R	-	-	R	R
41 Edmonton-Mill Woods	-	R	R	R	-	R	R
42 Edmonton-Riverview	-	R	R	R	-	R	R
43 Edmonton-Rutherford	-	R	R	R	-	R	R
44 Edmonton-South West	-	R	R	-	-	R	R
45 Edmonton-Strathcona	-	R	R	-	-	R	R
46 Edmonton-Whitemud	-	R	R	R	-	R	R
47 Airdrie	-	R	R	-	-	R	R
48 Athabasca-Sturgeon-Redwater	-	R	R	-	-	R	R
49 Banff-Cochrane	-	R	R	R	-	R	R
50 Barrhead-Morinville-Westlock	-	R	R	R	-	R	R
51 Battle River-Wainwright	-	R	R	-	-	R	R
52 Bonnyville-Cold Lake	-	R	R	-	-	R	R
53 Cardston-Taber-Warner	-	R	R	-	-	R	R
54 Chestermere-Rocky View	-	R	R	-	-	R	R

Status of Constituency Associations as at December 31, 2013

“R” = registered, “-” = not registered, “X” = deregistered in reporting year

Constituency Association	AFP	LIB	NDP	AP	SC	PC	WRP
55 Cypress-Medicine Hat	-	R	R	-	-	R	R
56 Drayton Valley-Devon	-	R	R	-	-	R	R
57 Drumheller-Stettler	-	R	R	-	-	R	R
58 Fort McMurray-Conklin	-	R	R	-	-	R	R
59 Fort McMurray-Wood Buffalo	-	R	R	-	-	R	R
60 Fort Saskatchewan-Vegreville	-	R	R	-	-	R	R
61 Grande Prairie-Smoky	-	R	R	-	-	R	R
62 Grande Prairie-Wapiti	-	R	R	-	-	R	R
63 Highwood	-	R	R	-	-	R	R
64 Innisfail-Sylvan Lake	-	R	R	R	R	R	R
65 Lac La Biche-St. Paul-Two Hills	-	R	R	-	-	R	R
66 Lacombe-Ponoka	-	R	R	R	-	R	R
67 Leduc-Beaumont	-	R	R	R	-	R	R
68 Lethbridge-East	-	R	R	-	-	R	R
69 Lethbridge-West	-	R	R	R	-	R	R
70 Little Bow	-	R	R	-	-	R	R
71 Livingstone-Macleod	-	R	R	-	-	R	R
72 Medicine Hat	-	R	R	-	-	R	R
73 Olds-Didsbury-Three Hills	-	R	R	-	-	R	R
74 Peace River	-	R	R	-	-	R	R
75 Red Deer-North	-	R	R	R	-	R	R
76 Red Deer-South	-	R	R	R	-	R	R
77 Rimbey-Rocky Mountain House-Sundre	-	R	R	-	-	R	R
78 Sherwood Park	-	R	R	R	R	R	R
79 Spruce Grove-St. Albert	-	R	R	-	-	R	R
80 St. Albert	-	R	R	R	-	R	R
81 Stony Plain	-	R	R	R	-	R	R
82 Strathcona-Sherwood Park	-	R	R	-	-	R	R
83 Strathmore-Brooks	R	R	R	-	-	R	R
84 Vermilion-Lloydminster	-	R	R	-	-	R	R
85 West Yellowhead	-	R	R	R	-	R	R
86 Wetaskiwin-Camrose	-	R	R	-	-	R	R
87 Whitecourt-St. Anne	-	R	R	-	-	R	R
Total	1	87	87	32	3	87	87

The Communist Party – Alberta and the Green Party of Alberta did not have any registered constituency associations at December 31, 2013.

QUARTERLY REPORTING

In accordance with Section 32(3) of the *EFCDA*, beginning January 1, 2013 all political parties and constituency associations were required to file quarterly reports in addition to annual financial statements. An online quarterly reporting tool was developed by Elections Alberta. By the third quarter compliance had reached 100%, with 98% of reports submitted using the online tool.

Quarterly reporting is based on each three-month period of the calendar year with a filing deadline fifteen days after the end of the quarter:

Quarter 1	January 1 to March 31	Filing deadline April 15
Quarter 2	April 1 to June 30	Filing deadline July 15
Quarter 3	July 1 to September 30	Filing deadline October 15
Quarter 4	October 1 to December 31	Filing deadline January 15

Quarterly reports are limited to the submission of contribution information, which must include the contributor name, address and total contribution amount for contributions over \$250 received in the quarter, and the total of all contributions up to \$250 received in the quarter. If no contributions are received in a quarter, a nil report is still required to be filed.

Political parties' policies regarding the acceptance of contributions by their constituency association(s) are outlined below for reference. All constituency associations are required to file quarterly reports regardless of party policy.

Political Party	Registered Constituency Association(s) in 2013	Constituency Association(s) authorized to accept contributions
Alberta First Party (AFP)	Yes	Yes
Alberta Liberal Party (LIB)	Yes	No
Alberta New Democratic Party (NDP)	Yes	No
Alberta Party (AP)	Yes	Yes
Alberta Social Credit Party (SC)	Yes	Yes
Communist Party – Alberta (CP-A)	No	N/A
Green Party of Alberta (GPA)	No	N/A
Progressive Conservative Association of Alberta (PC)	Yes	Yes
Wildrose Alliance Party (WRP)	Yes	Yes

The charts below summarize 2013 quarterly contributions reported by political parties and constituency associations, as taken from the quarterly reports filed with Elections Alberta. Quarterly reports are not subject to desk review by Elections Alberta, therefore the information is provided exactly as it was filed.

Note: Quarterly reported contributions may not reconcile to the annual reported contributions as a result of the year end review processes by the registered political parties and our Office.

Total Contributions to Political Parties per Quarterly Reports

	AFP	LIB	NDP	AP	SC	CP-A	GPA	PC	WRP
Q1	Nil	\$ 55,293	\$ 120,792	\$ 7,413	Nil	Nil	\$ 2,844	\$ 552,375	\$ 527,614
Q2	Nil	\$ 121,117	\$ 126,035	\$ 6,626	Nil	\$ 500	\$ 855	\$ 685,232	\$ 984,633
Q3	Nil	\$ 50,540	\$ 139,179	\$ 7,342	Nil	Nil	\$ 2,300	\$ 192,518	\$ 359,744
Q4	Nil	\$ 112,592	\$ 237,760	\$ 38,028	Nil	\$ 400	\$ 12,030	\$ 804,616	\$ 705,676
Total	Nil	\$ 339,542	\$ 623,766	\$ 59,409	Nil	\$ 900	\$ 18,029	\$ 2,234,741	\$ 2,577,667

For detailed information, reference the Elections Alberta website at www.elections.ab.ca.

Total Contributions to Constituency Associations per Quarterly Reports

	AFP	LIB	NDP	AP	SC	CP-A	GPA	PC	WRP
Q1	Nil	\$ 1,912	Nil	Nil	Nil	N/A	N/A	\$ 125,467	\$ 41,716
Q2	Nil	\$ 3,735	Nil	Nil	Nil	N/A	N/A	\$ 284,852	\$ 104,940
Q3	Nil	Nil	Nil	Nil	Nil	N/A	N/A	\$ 396,100	\$ 54,714
Q4	Nil	Nil	Nil	Nil	Nil	N/A	N/A	\$ 417,365	\$ 159,451
Total	Nil	\$ 5,647	Nil	Nil	Nil	N/A	N/A	\$ 1,223,784	\$ 360,821

For detailed information, reference the Elections Alberta website at www.elections.ab.ca.

ANNUAL FINANCIAL STATEMENTS

In accordance with Section 42 of the *EFDA*, political parties and constituency associations registered prior to September 1, 2013 were required to file annual financial statements with the Chief Electoral Officer on or before March 31, 2014.

Political Parties – Annual Financial Statements

All nine (9) political parties registered as at December 31, 2013 were required to submit a 2013 Political Party Annual Financial Statement on or before March 31, 2014. Eight (8) political parties, listed below, filed their financial statements by the filing deadline:

- Alberta First Party (AFP)
- Alberta Liberal Party (LIB)
- Alberta New Democratic Party (NDP)
- Alberta Party (AP)
- Communist Party – Alberta (CP-A)
- Green Party of Alberta (GPA)
- Progressive Conservative Association of Alberta (PC)
- Wildrose Alliance Party (WRP)

The Alberta Social Credit Party (SC) did not meet the filing requirements and was deregistered effective April 14, 2014. The party filed the outstanding financial statement on April 29, 2014 and was reregistered effective June 2, 2014 upon receipt of application for reregistration.

Audited financial statements are required if the revenue or expenses of a political party exceed \$1,000 for the reporting period. Seven (7) political parties submitted audited financial statements. The Communist Party – Alberta and the Alberta Social Credit Party were not required to submit audited financial statements.

Foundations

In accordance with Section 6(6) of the *EFDA*, political parties that maintain a foundation are required to file an annual report of the expenditures of that foundation. As at December 31, 2013, the Progressive Conservative Association of Alberta maintained a foundation which reported expenditures of \$20,390.

Political Parties – Annual Contribution Highlights

The following represents the number, monetary amount, and category of contributions reported by political parties in the 2013 calendar year as represented by the contribution receipts issued. Figures are rounded to the nearest dollar.

Alberta First Party (AFP)

No contributions were reported.

Alberta Liberal Party (LIB)	Total Contributions	Average Contribution
Contribution amount(s) to \$250:		
1,713 Individual(s)	\$ 131,566	\$ 77
50 Corporation(s)	\$ 6,779	\$ 135
2 Trade Union(s)	\$ 390	\$ 195
Contribution amount(s) over \$250:		
416 Individual(s)	\$ 180,410	\$ 434
48 Corporation(s)	\$ 57,003	\$ 1,187
1 Trade Union(s)	\$ 1,200	\$ 1,200
Total	\$ 377,348	

Alberta New Democratic Party (NDP)	Total Contributions	Average Contribution
Contribution amount(s) to \$250:		
2,151 Individual(s)	\$ 225,195	\$ 105
6 Corporation(s)	\$ 435	\$ 72
9 Trade Union(s)	\$ 1,420	\$ 158
Contribution amount(s) over \$250:		
652 Individual(s)	\$ 353,747	\$ 542
4 Corporation(s)	\$ 1,576	\$ 394
25 Trade Union(s)	\$ 54,486	\$ 2,179
Total	\$ 636,859	

Alberta Party (AP)	Total Contributions	Average Contribution
Contribution amount(s) to \$250:		
134 Individual(s)	\$ 14,443	\$ 108
Contribution amount(s) over \$250:		
62 Individual(s)	\$ 40,852	\$ 659
4 Corporation(s)	\$ 5,500	\$ 1,375
Total	\$ 60,795	

SECTION ONE THE 2013 CALENDAR YEAR

Communist Party – Alberta (CP-A)	Total Contributions	Average Contribution
Contribution amount(s) to \$250:		
2 Individual(s)	\$ 300	\$ 150
Contribution amount(s) over \$250:		
1 Individual(s)	\$ 600	\$ 600
Total	\$ 900	

Green Party of Alberta (GPA)	Total Contributions	Average Contribution
Contribution amount(s) to \$250:		
41 Individual(s)	\$ 5,195	\$ 127
Contribution amount(s) over \$250:		
15 Individual(s)	\$ 12,334	\$ 822
1 Corporation(s)	\$ 660	\$ 660
Total	\$ 18,189	

Progressive Conservative Association of Alberta (PC)	Total Contributions	Average Contribution
Contribution amount(s) to \$250:		
1,600 Individual(s)	\$ 152,160	\$ 95
140 Corporation(s)	\$ 15,894	\$ 113
Contribution amount(s) over \$250:		
916 Individual(s)	\$ 719,080	\$ 785
683 Corporation(s)	\$ 1,329,357	\$ 1,946
9 Trade Union(s)	\$ 17,875	\$ 1,986
Total	\$ 2,234,366	

Wildrose Alliance Party (WRP)	Total Contributions	Average Contribution
Contribution amount(s) to \$250:		
9,898 Individual(s)	\$ 1,106,592	\$ 112
32 Corporation(s)	\$ 5,229	\$ 163
Contribution amount(s) over \$250:		
2,212 Individual(s)	\$ 1,287,224	\$ 582
131 Corporation(s)	\$ 289,217	\$ 2,208
Total	\$ 2,688,262	

Total Contributions to Political Parties per Annual Financial Statements		Total Contributions	Average Contribution
Contribution amount(s) to \$250:			
15,539	Individual(s)	\$ 1,635,451	\$ 105
228	Corporation(s)	\$ 28,337	\$ 124
11	Trade Union(s)	\$ 1,810	\$ 165
Contribution amount(s) over \$250:			
4,274	Individual(s)	\$ 2,594,247	\$ 607
871	Corporation(s)	\$ 1,683,313	\$ 1,933
35	Trade Union(s)	\$ 73,560	\$ 2,102
Total		\$ 6,016,718	

Annual Revenue and Expenses Reported by Political Parties per Annual Financial Statements

Political Party	Amounts to \$250	Amounts over \$250	Other Revenue	Total Annual Revenue	Funds Transferred	Annual Expenses	Total Annual Expenses	Annual Surplus (Deficit)
AFP	Nil	Nil	\$ 756	\$ 756	Nil	\$ 76	\$ 76	\$ 680
LIB	\$ 139,735	\$ 238,613	\$ 70,479	\$ 447,827	\$ 80,311	\$ 268,598	\$ 348,909	\$ 98,918
NDP	\$ 227,050	\$ 409,809	\$ 138,293	\$ 775,152	\$ 94,632	\$ 424,820	\$ 519,452	\$ 255,700
AP	\$ 14,443	\$ 46,352	\$ 15,444	\$ 76,239	Nil	\$ 43,462	\$ 43,462	\$ 32,777
SC	Nil	Nil	\$ 476	\$ 476	\$ 312	\$ 287	\$ 599	\$ (123)
CP-A	\$ 300	\$ 600	Nil	\$ 900	Nil	Nil	Nil	\$ 900
GPA	\$ 5,195	\$ 12,994	\$ 3,129	\$ 21,318	Nil	\$ 9,411	\$ 9,411	\$ 11,907
PC	\$ 168,054	\$2,066,312	\$ 631,081	\$2,865,448	Nil	\$3,001,696	\$3,001,696	\$(136,248)
WRP	\$ 1,111,821	\$1,576,442	\$ 390,477	\$3,078,740	\$ 198,323	\$2,848,481	\$3,046,804	\$ 31,936

For detailed information, reference the Elections Alberta website at www.elections.ab.ca.

Constituency Associations – Annual Financial Statements

All 384 constituency associations registered as at December 31, 2013 were required to file a 2013 Constituency Association Annual Financial Statement on or before March 31, 2014. Of the 384 constituency associations:

- 310 constituency associations filed financial statements by the filing deadline;
- 16 constituency associations filed financial statements between April 1 and April 11, 2014 which were accepted as late-filed; and
- 58 constituency associations did not file the required financial statements and were deregistered, of which 38 later filed acceptable financial statements and were reregistered. The following section includes financial statement information from these 38 constituency associations. Details of deregistrations and reregistrations are provided on pages 27 and 28.

Constituency Associations – Annual Contribution Highlights

The chart below summarizes political parties' policies regarding the acceptance of contributions by their constituency association(s), and whether contributions were reported in the 2013 calendar year.

Political Party	Registered Constituency Association(s) in 2013	Constituency Association(s) authorized to accept contributions	Contributions reported in 2013
Alberta First Party (AFP)	Yes	Yes	Nil
Alberta Liberal Party (LIB)	Yes	No	N/A
Alberta New Democratic Party (NDP)	Yes	No	N/A
Alberta Party (AP)	Yes	Yes	Nil
Alberta Social Credit Party (SC)	Yes	Yes	Nil
Communist Party – Alberta (CP-A)	No	N/A	N/A
Green Party of Alberta (GPA)	No	N/A	N/A
Progressive Conservative Association of Alberta (PC)	Yes	Yes	Yes
Wildrose Alliance Party (WRP)	Yes	Yes	Yes

The following represents the number, monetary amount, and category of contributions reported by constituency associations in the 2013 calendar year as represented by the contribution receipts issued. Figures are rounded to the nearest dollar.

Progressive Conservative Association of Alberta (PC) *		Total Contributions	Average Contribution
Contribution amount(s) to \$250:			
2,812	Individual(s)	\$ 291,895	\$ 104
865	Corporation(s)	\$ 101,629	\$ 117
7	Trade Union(s)	\$ 1,221	\$ 174
Contribution amount(s) over \$250:			
826	Individual(s)	\$ 370,311	\$ 448
821	Corporation(s)	\$ 432,595	\$ 527
14	Trade Union(s)	\$ 6,840	\$ 488
Total		\$ 1,204,491	

*72 of 87 constituency associations reported receiving contributions.

Wildrose Alliance Party (WRP)*		Total Contributions	Average Contribution
Contribution amount(s) to \$250:			
1,019	Individual(s)	\$ 111,996	\$ 110
112	Corporation(s)	\$ 13,697	\$ 122
Contribution amount(s) over \$250:			
270	Individual(s)	\$ 148,519	\$ 550
136	Corporation(s)	\$ 85,992	\$ 632
Total		\$ 360,204	

*57 of 87 constituency associations reported receiving contributions.

Total Contributions to Constituency Associations per Annual Financial Statements		Total Contributions	Average Contribution
Contribution amount(s) to \$250:			
3,831	Individual(s)	\$ 403,891	\$ 105
977	Corporation(s)	\$ 115,326	\$ 118
7	Trade Union(s)	\$ 1,221	\$ 174
Contribution amount(s) over \$250:			
1,096	Individual(s)	\$ 518,830	\$ 473
957	Corporation(s)	\$ 518,587	\$ 542
14	Trade Union(s)	\$ 6,840	\$ 489
Total		\$ 1,564,695	

**Annual Revenue and Expenses
Reported by Constituency Associations
per Annual Financial Statements**

Political Party	Amounts to \$250	Amounts over \$250	Other Revenue	Total Annual Revenue	Funds Transferred	Annual Expenses	Total Annual Expenses	Annual Surplus (Deficit)
AFP	Nil	Nil	Nil	Nil	\$ 756	Nil	\$ 756	\$ (756)
LIB	N/A	N/A	\$ 70,919	\$ 70,919	\$ 13,259	\$ 39,570	\$ 52,829	\$ 18,090
NDP	N/A	N/A	\$ 108,967	\$ 108,966	\$ 3,133	\$ 42,971	\$ 46,104	\$ 62,862
AP	Nil	Nil	\$ 9	\$ 9	\$ 1,979	\$ 1,853	\$ 3,832	\$ (3,823)
SC	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
CP-A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
GPA	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
PC	\$ 394,865	\$ 809,626	\$ 551,853	\$ 1,756,344	\$ 75,922	\$ 1,173,496	\$ 1,249,418	\$ 506,926
WRP	\$ 127,694	\$ 232,511	\$ 262,365	\$ 622,570	\$ 43,793	\$ 303,029	\$ 346,822	\$ 275,748

For detailed information, reference the Elections Alberta website at www.elections.ab.ca.

**Total Combined Annual Contributions to
Political Parties and Constituency Associations
per Annual Financial Statements**

Political Party	Party	Constituency Associations	Total
Alberta First Party (AFP)	\$ Nil	\$ Nil	\$ Nil
Alberta Liberal Party (LIB)	\$ 377,348	\$ N/A	\$ 377,348
Alberta New Democratic Party (NDP)	\$ 636,858	\$ N/A	\$ 636,858
Alberta Party (AP)	\$ 60,795	\$ Nil	\$ 60,795
Alberta Social Credit Party (SC)	\$ Nil	\$ Nil	\$ Nil
Communist Party – Alberta (CP-A)	\$ 900	\$ N/A	\$ 900
Green Party of Alberta (GPA)	\$ 18,189	\$ N/A	\$ 18,189
Progressive Conservative Association of Alberta (PC)	\$ 2,234,366	\$ 1,204,491	\$ 3,438,857
Wildrose Alliance Party (WRP)	\$ 2,688,262	\$ 360,204	\$ 3,048,466
Total	\$ 6,016,718	\$ 1,564,695	\$ 7,581,413

Historical Summary of Contributions to Political Parties 2008-2013

Political Party	2008*	2009*	2010	2011	2012*	2013	Totals
AFP	\$ 15,291	\$ 6,794	Nil	\$ 5,400	Nil	Nil	\$ 27,485
AG	\$ 26,762	N/A	N/A	N/A	N/A	N/A	\$ 26,762
LIB	\$ 1,139,239	\$ 694,448	\$ 455,116	\$ 524,816	\$ 462,648	\$ 377,348	\$ 3,653,615
NDP	\$ 966,151	\$ 569,487	\$ 611,105	\$ 728,576	\$ 1,216,252	\$ 636,858	\$ 4,728,429
AP	\$ 21,741	\$ 9,647	\$ 79,029	\$ 144,478	\$ 77,739	\$ 60,795	\$ 393,429
SC	\$ 25,771	\$ 22,330	\$ 4,920	\$ 4,726	\$ 3,850	Nil	\$ 61,597
CP-A	\$ 540	Nil	\$ 1,295	\$ 800	\$ 1,700	\$ 900	\$ 5,235
GPA	N/A	N/A	N/A	N/A	\$ 10,088	\$ 18,189	\$ 28,277
PC	\$ 2,866,045	\$ 2,417,841	\$ 2,812,868	\$ 2,234,829	\$ 3,661,232	\$ 2,234,366	\$ 16,227,181
WRP	\$ 726,937	\$ 441,926	\$ 1,449,094	\$ 2,170,531	\$ 5,204,728	\$ 2,688,262	\$ 12,681,478
Totals	\$ 5,788,477	\$ 4,162,473	\$ 5,413,427	\$ 5,814,156	\$ 10,638,237	\$ 6,016,718	\$ 37,833,488

*Includes all contributions received during the year, including campaign periods.

For detailed information, reference the Elections Alberta website at www.elections.ab.ca.

Historical Summary of Contributions to Constituency Associations 2008-2013

Political Party	2008	2009	2010	2011	2012*	2013	Totals
AFP	Nil						
AG	\$ 5,750	N/A	N/A	N/A	N/A	N/A	\$ 5,750
IND	N/A	N/A	\$ 4,560	N/A	N/A	N/A	\$ 4,560
LIB	N/A						
NDP	N/A						
AP	Nil	Nil	Nil	\$ 66,961	\$ 67,394	Nil	\$ 134,355
SC	\$ 2,790	\$ 3,000	Nil	Nil	Nil	Nil	\$ 5,790
CP-A	N/A						
GPA	N/A						
PC	\$ 1,198,169	\$ 1,430,666	\$ 1,453,150	\$ 1,363,258	\$ 1,202,474	\$ 1,204,491	\$ 7,852,208
WRP	\$ 331	\$ 27,010	\$ 370,115	\$ 795,484	\$ 781,666	\$ 360,204	\$ 2,334,810
Totals	\$ 1,207,040	\$ 1,460,676	\$ 1,827,825	\$ 2,225,703	\$ 2,051,534	\$ 1,564,695	\$ 10,337,475

*Includes all contributions received on old and new electoral divisions.

For detailed information, reference the Elections Alberta website at www.elections.ab.ca.

SENATORIAL SELECTION

ANNUAL FINANCIAL STATEMENTS

The *EFDA* permits political parties to continue financial activities related to Senatorial Selection between campaigns. Political parties may accept annual contributions, within prescribed limits, and expend funds for Senatorial Selection purposes.

The Green Party of Alberta, the Progressive Conservative Association of Alberta and the Wildrose Alliance Party, nominated candidates for the 2012 Senate Nominee Election and were required to file a 2013 Political Party Annual Financial Statement in accordance with Section 42(3) of the *EFDA*. All three political parties filed the required financial statement by the filing deadline. The Progressive Conservative Association of Alberta and Wildrose Alliance Party reported no financial activity. The Green Party of Alberta reported miscellaneous revenue of \$509 for the 2013 calendar year.

Senatorial Selection Annual Revenue and Expenses Reported by Political Parties per Annual Financial Statements

Political Party	Amounts to \$250	Amounts over \$250	Other Revenue	Total Annual Revenue	Funds Transferred	Annual Expenses	Total Annual Expenses	Annual Surplus (Deficit)
GPA	Nil	Nil	\$ 509	\$ 509	\$ 509	Nil	\$ 509	Nil
PC	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
WRP	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil

For detailed information, reference the Elections Alberta website at www.elections.ab.ca.

THIRD PARTY ADVERTISING

REGISTRATION

Elections Alberta is responsible for the management of registration, reporting and disclosure of third parties involved in election advertising. In accordance with Section 9.1 of the *EFCEA*, eligible individuals, corporations and groups must register as a third party with Elections Alberta if they place election advertisements (broadcast, print or electronic) and if contributions or expenses exceed \$1,000.

As at December 31, 2013, there were five (5) registered third parties. Registration information for the third parties as at December 31, 2013 is listed below:

Third Party	Date Registered	Primary Contact	Chief Financial Officer
Democratic Renewal Project	March 5, 2012	Alvin Finkel	Peter Smith
Envision Edmonton Opportunities Society	April 10, 2012	Eugene Strilchuk	Eugene Strilchuk
Merit Contractors Association	December 20, 2011	Stephen Kushner	Lyle Bhargava
Proud of Alberta	April 18, 2012	Kristopher Wells	Frank Calder
Value Drug Mart Associates Ltd.	April 3, 2012	Alan Hodgins	Anita Feist

ANNUAL FINANCIAL STATEMENTS

Third parties were required to file a 2013 Third Party Annual Advertising Report, including a financial statement, on or before March 31, 2014, if a surplus was reported in 2012. Democratic Renewal Project, Merit Contractors Association and Proud of Alberta were each required to file an annual advertising report and all filed by the required deadline.

Annual Contribution Highlights

No contributions were reported by the Democratic Renewal Project or Proud of Alberta. Merit Contractors Association reported receiving one (1) contribution of \$15,000 from a corporation.

Annual Revenue and Expenses Reported by Third Parties per Annual Advertising Reports

Third Party	Amounts to \$250	Amounts over \$250	Other Revenue	Total Annual Revenue	Funds Transferred	Annual Expenses	Total Annual Expenses	Annual Surplus (Deficit)
Democratic Renewal Project	Nil	Nil	Nil	Nil	Nil	\$ 490	\$ 490	\$ (490)
Proud of Alberta	Nil	Nil	Nil	Nil	\$ 348	\$ 7	\$ 355	\$ (355)
Merit Contractors Association	Nil	\$ 15,000	Nil	\$ 15,000	Nil	Nil	Nil	\$ 15,000

For detailed information, reference the Elections Alberta website at www.elections.ab.ca.

Status of Surplus (Deficit) of Third Parties

Third Party	2011 Net Assets	2012 Election Period Surplus (Deficit)	2012 Annual Surplus (Deficit)	2012 Net Assets	2013 Annual Surplus (Deficit)	2013 Net Assets	Required to file 2014
Democratic Renewal Project	N/A	\$ (738)	\$ 1,522	\$ 783	\$ (490)	\$ 293	Yes
Envision Edmonton Opportunities Society	N/A	Nil	Nil	Nil	N/A	N/A	No
Merit Contractors Association	\$ 15,000	\$ (11,900)	Nil	\$ 3,100	\$ 15,000	\$ 18,100	Yes
Proud of Alberta	N/A	\$ 359	\$ (4)	\$ 355	\$ (355)	Nil	No
Value Drug Mart Associates Ltd.	N/A	\$ 372	\$ (372)	Nil	N/A	N/A	No

For detailed information, reference the Elections Alberta website at www.elections.ab.ca.

LEADERSHIP CONTESTS

Beginning January 1, 2013 Elections Alberta became responsible for maintaining oversight of political party leadership contests, the process by which a political party selects a leader. In accordance with Sections 9.2 and 44.96 of the *EFDA*, Elections Alberta's responsibilities include the management of registration, reporting and disclosure of leadership contests. The information below outlines key dates, contestant registrations and contestants' financial reporting as required.

REGISTRATION

During the 2013 calendar year, the Alberta Party filed a statement with the Chief Electoral Officer setting out April 6, 2013 as the date of the official call of the leadership contest. Two (2) leadership contestant registration applications were received by Nomination Day, August 12, 2013, and both were approved.

Leadership Contestant	Date Registered
Greg Clark	June 5, 2013
Troy Millington	August 6, 2013

A leadership vote was held on September 21, 2013 in which Greg Clark was voted in as the new leader.

FINANCIAL STATEMENTS

Leadership Contestants were required to file a Leadership Contestant Financial Statement with the Chief Electoral Officer on or before January 21, 2014. Both contestants filed their statements by the required filing deadline.

Leadership Contribution Highlights

The following represents the number, monetary amount, and category of contributions reported by leadership contestants as represented by the contribution receipts issued. Figures are rounded to the nearest dollar.

Greg Clark	Total Contributions	Average Contribution
Contribution amount(s) to \$250:		
25 Individual(s)	\$ 3,685	\$ 147
1 Corporation(s)	\$ 150	\$ 150
Contribution amount(s) over \$250:		
6 Individual(s)	\$ 3,240	\$ 540
1 Corporation(s)	\$ 1,000	\$ 1,000
Total	\$ 8,075	

Troy Millington	Total Contributions	Average Contribution
Contribution amount(s) to \$250:		
3 Individual(s)	\$ 300	\$ 100
Total	\$ 300	

Revenue and Expenses Reported by Leadership Contestants per Financial Statements

Leadership Contestant	Amounts to \$250	Amounts over \$250	Other Revenue	Total Revenue	Total Expenses	Surplus (Deficit)
Greg Clark	\$ 4,817	\$ 4,240	\$ 583	\$ 9,639	\$ 7,022	\$ 2,617
Troy Millington	\$ 300	Nil	\$ 300	\$ 300	\$ 313	\$ (13)

For detailed information, reference the Elections Alberta website at www.elections.ab.ca.

Section Two

GENERAL INFORMATION

FUNDS HELD IN TRUST

As at December 31, 2013, the Chief Electoral Officer held no funds in trust and transferred no funds to the General Revenue Fund during 2013. In accordance with Section 10(12) of the *EFDA*, any funds held in trust must be transferred to the General Revenue Fund for the Province of Alberta after one year.

PUBLIC FILES AND REPORTS

Public Files are maintained at the Office of the Chief Electoral Officer and contain information concerning political financing of registered political organizations in Alberta. The Public Files now contain data for thirty-seven consecutive calendar years including ten provincial general elections starting March 14, 1979, nineteen by-elections held starting November 21, 1979 and four Senate Nominee elections held in 1989, 1998, 2004 and 2012.

Copies of financial statements are contained in the Public Files and are available for examination from 8:15 a.m. to 12:00 p.m. and 1:00 p.m. to 4:30 p.m., Monday to Friday. Photocopies of data maintained in the Public Files are available at a rate prescribed under authority of Section 11 of the *EFDA*.

For ease of access throughout the province, copies of financial statements from 2004 onward, along with redacted lists of contributors, are available on the Elections Alberta website at www.elections.ab.ca under the Financial Disclosure tab.

Reports published by the Chief Electoral Officer are available to the public at no cost and are also available on the Elections Alberta website.

EVENTS OF INTEREST DURING THE 2013 CALENDAR YEAR

During the 2013 calendar year, there was significant activity due to *EFDA* amendments which were consequential to the passage of the *Election Accountability Amendment Act, 2012*. The amendments required that a significant number of resources be updated and/or developed, then delivered to stakeholders, and support provided to stakeholders in using the resources. As well, there were ongoing desk audits and finalizing of hundreds of financial statements received during 2012 and 2013. Dozens of investigations were conducted on contributors and recipients of political contributions. The following highlights the *EFDA* amendments, activities and events during 2013.

HIGHLIGHTS OF THE *EF*CDA AMENDMENTS

Bill 7, the *Election Accountability Amendment Act, 2012*, received Royal Assent on December 10, 2012, amending the *EF*CDA, *Election Act*, and *Senatorial Selection Act*. Highlights of the *EF*CDA amendments affecting political entities which took effect January 1, 2013, are summarized in the following chart. The complete legislation is accessible on the Queen's Printer website at: www.qp.alberta.ca/Laws_Online.cfm and through the Queen's Printer Bookstore.

<i>EF</i> CDA AMENDMENTS PURSUANT TO THE <i>ELECTION ACCOUNTABILITY AMENDMENT ACT</i>				POLITICAL ENTITY IMPACTED		
ITEM NO.	PROVISION	IN FORCE	<i>EF</i> CDA SECTION	PARTY	CA	CANDIDATE
1.	Campaign period begins February 1st when election occurs during the fixed election period	Jan 1, 2013	1(1)(b)	✓	✓	✓
2.	Names and addresses of contributors must be identified for all contributions over \$250 (formerly \$375)	Jan 1, 2013	4, 32	✓	✓	✓
3.	Leadership contests are subject to registration and financial disclosure	Jan 1, 2013	9.2, 44.93 to 44.96	✓		
4.	Chief financial officers must make every reasonable effort to advise prospective contributors of provisions relating to contributions	Jan 1, 2013	30(2)	✓	✓	✓
5.	Quarterly disclosure of contributions is required (excluding the 28-day election period)	Jan 1, 2013	32(3)	✓	✓	
6.	Official receipts will include a notice to contributors to advise them of their obligation to comply with the <i>EF</i> CDA	Jan 1, 2013	15.1, 33	✓	✓	✓
7.	Political entities may transfer debts, as well as assets	Jan 1, 2013	38	✓	✓	✓
8.	Calculation of the amount of the contribution portion of a fund-raiser has changed to more closely reflect actual expenses	Jan 1, 2013	23, 44.32	✓	✓	✓

INVESTIGATIONS

The *EF*CDA amendments increased openness and transparency into the financing of political entities, expanded the regulatory powers of the Chief Electoral Officer in situations of non-compliance, and significantly changed provisions relating to the conduct and disclosure of investigations.

The Chief Electoral Officer's ability to apply sanctions such as administrative penalties and letters of reprimand was expanded to include recipients of prohibited contributions, in addition to contributors. It is now mandatory to disclose via web-posting, the findings and decisions of investigations where administrative penalties are applied or letters of reprimand are issued. In addition, for investigations that have determined no violation occurred, public disclosure may be authorized in writing by the complainant, subject of the complaint or others involved in the matter. Results of investigations that have concluded appear on Elections Alberta's website.

As at December 31, 2013, 31 files had been opened in circumstances of possible violation of the *EFDA*. Findings and decisions relating to those files which were found to demonstrate violations appear on the Elections Alberta website.

Summary Investigations Table	
Transfers-in from 2012	12
Initiated in 2013	19
Subtotal	31
Completed in 2013	13
Carry-forward to 2014	18

Educational resources for both contributors and recipients are available, reflecting the current statutory framework, to increase the understanding of all stakeholders and promote compliance through education. Resources have been developed to support contributors and chief financial officers, since both have specific obligations with respect to compliance.

QUARTERLY REPORTING

The new quarterly reporting requirement for political parties and constituency associations increased the financial filings submitted to this Office in any calendar year from approximately 400 to 2,000.

In order to facilitate timely and accurate quarterly reporting, a user-friendly online application and instructional videos were developed and launched by Elections Alberta on April 2, 2013. By the end of 2013, 100% reporting compliance was reached with 98% of submissions received online.

Elections Alberta has designated staff to provide ongoing support to political parties and constituency associations. The staff support, reminder letters and emails, along with the user-friendly online application and instructions, all contributed to the high compliance rate achieved in the first year of this new statutory obligation, which was ably met by political entities.

Refer to pages 8 and 9 for further details.

LEADERSHIP CONTESTS

New legislation regarding political party leadership contests expanded Elections Alberta's responsibilities to include management of registration, financial reporting and disclosure. Elections Alberta created forms and guides for political parties, leadership contestants and their chief financial officers, and contributors to leadership contestants.

Political parties are responsible for receiving, reviewing and endorsing registration applications of eligible leadership contestants. Leadership contestants are responsible for appointing an eligible chief financial officer (CFO), who must ensure compliance with all aspects of contest finance including the filing of Leadership Contestant Financial Statements.

There was one registered leadership contest held by the Alberta Party in the 2013 calendar year. Details are provided on pages 21 and 22.

EVENTS OF INTEREST SINCE JANUARY 1, 2014

CAMPAIGN DEFICIT RETIREMENT

Of the 19 candidates who filed deficit campaign financial statements for the 2012 Provincial General Election and 2012 Senate Nominee Election, 16 submitted amended financial statements indicating that the deficit had been eliminated and three (3) candidates failed to meet the filing requirements under Section 43.1 of the *EFDA*. The names of the candidates and their CFOs, along with the electoral division and political party affiliation, were published in the 2012 Annual Report. Of the three (3) candidates that failed to meet the filing requirements, one candidate, Allan Hunsperger, filed in 2013 and a second candidate, Ethane Jarvis, filed the required statement on January 22, 2014; therefore the prohibition for both the candidate and the candidate's CFO from being nominated as a candidate or acting in the capacity of a CFO, has been reduced to five (5) years from the date of filing the required statements.

DEREGISTRATIONS AND REREGISTRATIONS

In March 2014 one constituency association was deregistered at the request of the political party, and in April 2014, 58 constituency associations were deregistered due to failure to file or incomplete filing of 2013 annual financial statements. Deregistered constituency associations may not collect contributions, transfer funds, or receive funds on transfer.

A total of 53 Alberta Liberal Party constituency associations were deregistered effective April 14, 2014 for failure to meet the filing requirements in accordance with Section 42(1)(b) of the *EFCA*. As at September 15, 2014, 35 had filed the outstanding financial statements and were approved for reregistration.

Constituency Association	Reregistered	Constituency Association	Reregistered
Lesser Slave Lake	May 9, 2014	Airdrie	May 5, 2014
Calgary-Acadia		Banff-Cochrane	
Calgary-Cross		Barrhead-Morinville-Westlock	May 21, 2014
Calgary-East	May 5, 2014	Battle River-Wainwright	
Calgary-Elbow	July 8, 2014	Bonnyville-Cold Lake	May 5, 2014
Calgary-Fish Creek	May 5, 2014	Cardston-Taber-Warner	May 5, 2014
Calgary-Fort	May 5, 2014	Chestermere-Rocky View	May 5, 2014
Calgary-Greenway	May 5, 2014	Cypress-Medicine Hat	
Calgary-Hawkwood	May 5, 2014	Drayton Valley-Devon	May 5, 2014
Calgary-Hays	May 5, 2014	Drumheller-Stettler	
Calgary-Klein		Fort McMurray-Conklin	May 5, 2014
Calgary-Lougheed		Fort McMurray-Wood Buffalo	May 5, 2014
Calgary-McCall	May 5, 2014	Grande Prairie-Smoky	
Calgary-North West		Grande Prairie-Wapiti	
Calgary-Northern Hills	May 5, 2014	Innisfail-Sylvan Lake	May 5, 2014
Calgary-South East	May 5, 2014	Lac La Biche-St. Paul-Two Hills	
Calgary-West		Livingstone-Macleod	September 11, 2014
Edmonton-Beverly-Clareview	May 5, 2014	Olds-Didsbury-Three Hills	
Edmonton-Calder		Peace River	May 5, 2014
Edmonton-Castle Downs		Strathmore-Brooks	May 5, 2014
Edmonton-Centre	April 17, 2014	Vermilion-Lloydminster	
Edmonton-Decore	July 3, 2014	West Yellowhead	July 8, 2014
Edmonton-Ellerslie		Wetaskiwin-Camrose	May 5, 2014
Edmonton-Glenora	August 25, 2014	Whitecourt-Ste. Anne	September 3, 2014
Edmonton-Highlands-Norwood	May 5, 2014		
Edmonton-Mill Creek	July 3, 2014		
Edmonton-South West	May 5, 2014		
Edmonton-Strathcona	May 28, 2014		
Edmonton-Whitemud	May 5, 2014		

The Alberta Social Credit Party requested that the Edmonton-Calder Constituency Association be deregistered, which was approved and made effective March 28, 2014. The party and its two (2) remaining constituency associations were later deregistered effective April 14, 2014 for the party's failure to meet the filing requirements in accordance with Section 42(1)(b) of the *EFCA*. The party filed the outstanding financial statements and was approved for reregistration effective June 2, 2014. As at September 15, 2014, one (1) constituency association had filed the outstanding financial statement and was reregistered.

Constituency Association	Reregistered
Edmonton-Calder	N/A
Innisfail-Sylvan Lake	July 23, 2014
Sherwood Park	

Three (3) Wildrose Alliance Party constituency associations were deregistered effective April 14, 2014 for failure to meet the filing requirements in accordance with Section 42(1)(b) of the *EFCA*. As at September 15, 2014, two (2) had filed the outstanding financial statements and were reregistered.

Constituency Association	Reregistered
Edmonton-Manning	July 16, 2014
Drayton Valley-Devon	
Little Bow	July 31, 2014

Details of all deregistrations and reregistrations during the 2014 calendar year will be provided in the 2014 Annual Report.

LEADERSHIP CONTESTS

Two (2) leadership contests were registered as at September 15, 2014 as follows:

Progressive Conservative Association of Alberta

Date of official call April 15, 2014
 Nomination period May 15 – 30, 2014
 Leadership vote(s) September 6, 2014 and, if required, September 20, 2014

Alberta New Democratic Party

Date of official call May 30, 2014
 Nomination period June 2 – August 5, 2014
 Leadership vote October 18, 2014

Additional details will be provided in the 2014 Annual Report.

Section Three

RECOMMENDATIONS

The following recommendations were provided by the Chief Electoral Officer to the Standing Committee on Legislative Offices on July 3, 2014 and discussed further on September 4, 2014. The Committee passed a motion on September 10, 2014 to transmit the recommendations to the Minister of Justice and Solicitor General for the preparation of amendments for introduction in the Assembly.

COMPREHENSIVE REVIEW OF ELECTORAL LEGISLATION

A comprehensive review of electoral legislation to commence within one year of the next general election was recommended for the following primary reasons:

- Current legislation is very prescriptive, needs to be enabling and written in plain language that is easily understood and interpreted
- In order to modernize the electoral process and introduce best practices in electoral administration to Alberta, new procedures and equipment are necessary
- Elections Alberta has, as part of our mandate, the requirement to “embrace partnership opportunities and innovative ideas by adopting best practices and new technologies from the service, business and election communities”

LEGISLATIVE AMENDMENTS

Recommendations for legislative amendments to both the *Election Act* and the *Election Finances and Contributions Disclosure Act* were provided for consideration prior to the 2016 Provincial General Election. These changes will allow for some modernization of electoral administration, strengthen the integrity and accuracy of the Register of Electors and increase transparency. The following pages include only the recommendations put forth for the *EFDA*.

Election Finances and Contributions Disclosure Act

#	Section	Current	Proposed	Rationale
1	1(1)(l) 3	NEW	<ul style="list-style-type: none"> • Create a regulation to establish what constitutes a publicly funded corporation. A regulation identifying publicly funded corporations would enhance clarity, understanding and, ultimately, compliance on the part of contributors, recipients and the public 	<ul style="list-style-type: none"> • There are several groups of corporations that are prohibited from making political contributions. These groups of prohibited corporations include municipalities, school boards, post-secondary institutions and Provincial corporations
2	5.2(2)	NEW	<ul style="list-style-type: none"> • Provide the ability for the Chief Electoral Officer to disclose to the political party if their candidate or constituency association is under investigation 	<ul style="list-style-type: none"> • Parties are responsible for endorsing their candidates and establishing constituency associations and should be notified of any investigation undertaken by Elections Alberta
3	5.2(2) 51.1(1)	NEW	<ul style="list-style-type: none"> • Provide the ability for the Chief Electoral Officer to disclose to Alberta Treasury Board & Finance (Tax and Revenue Administration) an instance where excessive contributions have been refunded resulting in amendments to Official Tax Receipts 	<ul style="list-style-type: none"> • Where a contribution has been made or accepted in contravention of the <i>Act</i> and official tax receipts had been issued and potentially filed on personal/corporate Alberta Tax Returns, Alberta Finance has requested notification
4	9(2)(a)(iv)	<p>9(2) The Chief Electoral Officer shall maintain a register of candidates in relation to each election and, subject to this section, shall register in it any candidate who is qualified to be registered and who files with the Chief Electoral Officer an application for registration setting out (a) that, in the case of a candidate under the <i>Election Act</i>, the candidate</p> <p>...</p> <p>(iv) has, <u>after the date of the issue of a writ for an election in a named electoral division</u>, declared the candidate's candidacy as an independent</p>	<ul style="list-style-type: none"> • Delete "after the date of the issue of a writ for an election in a named electoral division" in (iv) 	<ul style="list-style-type: none"> • To allow independent candidates to accept contributions and use any funds during the campaign period • This harmonizes the commencement date for independent candidates and party supported candidates • This amendment eliminates a potential Charter challenge

Election Finances and Contributions Disclosure Act

#	Section	Current	Proposed	Rationale
5	9(2)(b)(iii)	<p>9(2) The Chief Electoral Officer shall maintain a register of candidates in relation to each election and, subject to this section, shall register in it any candidate who is qualified to be registered and who files with the Chief Electoral Officer an application for registration setting out</p> <p>(b) that, in the case of a candidate under the <i>Senatorial Selection Act</i>, the candidate</p> <p>...</p> <p>(iii) has, <u>after the date of the issue of a writ for an election</u>, declared the candidate's candidacy as an independent candidate at the election;</p>	<ul style="list-style-type: none"> • Delete “after the date of the issue of a writ for an election” in (iii) 	<ul style="list-style-type: none"> • Same as previous recommendation
6	44.1(e)	<p>“election period” means the period commencing the day a writ of election is issued for a <u>general election</u> under the <i>Election Act</i> and concluding at the end of polling day</p>	<ul style="list-style-type: none"> • Extend third party advertising provisions to include by-elections 	<ul style="list-style-type: none"> • Consistent application of the provisions to include all elections
7	49.1	<p>49.1 A third party that contravenes Part 6.1 of this <i>Act</i> is guilty of an offence and liable to a fine not exceeding</p> <p>(a) \$10 000 if the third party is a person;</p> <p>(b) \$100 000 if the third party is a trade union, employee organization, corporation or other organization</p>	<ul style="list-style-type: none"> • Add breach of section 9.1 to offence provision • Section 9.1 is not in Part 6.1 • Add: ‘group’ to the description in subsection (b): “...the third party is a trade union, employee organization, corporation, group or other organization” 	<ul style="list-style-type: none"> • Failure to register as a third party breaches section 9.1, but that is not currently a prosecutable offence • There is no other way to remedy a third party who failed to register, as other remedies only allow the CEO to penalize a registered third party • Currently, a third party can be a group (s. 44.1(i)), but a group cannot be prosecuted. Including group in the offence section closes that loophole

Election Finances and Contributions Disclosure Act

#	Section	Current	Proposed	Rationale
7a	51.01(5) (d)(ii)	51.01(5) The amount of an administrative penalty that may be imposed under subsection (2) must not exceed ... (d) in the case of a contravention referred to in section 49.1, (i) \$10 000 if the third party is a person, and (ii) \$100 000, if the third party is a trade union, employee organization, corporation or other organization;	<ul style="list-style-type: none"> • Add: 'group' to the description in subsection (ii): "...the third party is a trade union, employee organization, corporation, group or other organization" 	<ul style="list-style-type: none"> • Currently, a third party can be a group (s. 44.1(i)), but a group cannot be prosecuted. Including group in the offence section closes that loophole • This is consistent with the definition of third party



Section Four

FINANCIAL STATEMENTS

On the following pages is a copy of the Audited Annual Financial Statements for the Office of the Chief Electoral Officer for the year ended March 31, 2014.

OFFICE OF THE CHIEF ELECTORAL OFFICER

**Financial Statements
Year Ended March 31, 2014**

OFFICE OF THE CHIEF ELECTORAL OFFICER
FINANCIAL STATEMENTS

AS AT MARCH 31, 2014

Independent Auditor's Report

Statement of Financial Position

Statement of Operations

Statement of Cash Flows

Notes to the Financial Statements

Schedule 1 – Expense Detailed by Object

Schedule 2 – Salary and Benefits Disclosure

Schedule 3 – Schedule of Allocated Costs

INDEPENDENT AUDITOR'S REPORT



To the Members of the Legislative Assembly

Report on the Financial Statements

I have audited the accompanying financial statements of Office of the Chief Electoral Officer, which comprise the statement of financial position as at March 31, 2014, and the statements of operations and cash flows for the year then ended, and a summary of significant accounting policies and other explanatory information.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with Canadian public sector accounting standards, and for such internal control as management determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

My responsibility is to express an opinion on these financial statements based on my audit. I conducted my audit in accordance with Canadian generally accepted auditing standards. Those standards require that I comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my audit opinion.

Opinion

In my opinion, the financial statements present fairly, in all material respects, the financial position of the Office of the Chief Electoral Officer as at March 31, 2014, and the results of its operations, its remeasurement gains and losses, and its cash flows for the year then ended in accordance with Canadian public sector accounting standards.

[Original signed by Merwan N. Saher, FCA]

Auditor General
July 17, 2014
Edmonton, Alberta

OFFICE OF THE CHIEF ELECTORAL OFFICER

STATEMENT OF FINANCIAL POSITION

AS AT MARCH 31, 2014

	2014	2013
ASSETS		
Assets		
Cash	\$ 150	\$ 150
Prepaid Expenses	19	-
Tangible Capital Assets (Note 4)	847,259	748,678
	\$ 847,428	\$ 748,828
LIABILITIES AND NET ASSETS		
Liabilities		
Accounts Payable and Accrued Liabilities	\$ 389,163	\$ 180,657
Accrued vacation pay	219,114	277,245
	\$ 608,277	\$ 457,902
Net Assets		
Net Assets (Liabilities) at Beginning of Year	290,926	554,707
Net Operating Results	(3,785,459)	(17,631,723)
Net Financing Provided from General Revenues	3,733,684	17,367,942
Net Assets at End of Year	239,151	290,926
	\$ 847,428	\$ 748,828

The accompanying notes and schedules are part of these financial statements.

OFFICE OF THE CHIEF ELECTORAL OFFICER

STATEMENT OF OPERATIONS

YEAR ENDED MARCH 31, 2014

	2014		2013
	Budget	Actual	Actual
Revenues:			
Other Revenue	\$ -	\$ 6,683	\$ 69,400
Expenses (Schedules 1 & 3):			
Voted:			
Corporate Services	4,843,000	3,375,995	3,490,261
Elections	840,000	-	13,618,449
	5,683,000	3,375,995	17,108,710
Amounts Not Voted:			
Amortization of Capital Assets	650,000	474,278	599,930
Provision for Vacation Pay	-	(58,131)	(7,517)
	650,000	416,147	592,413
	6,333,000	3,792,142	17,701,123
Net Operating Results	\$ (6,333,000)	\$ (3,785,459)	\$ (17,631,723)

The accompanying notes and schedules are part of these financial statements.

OFFICE OF THE CHIEF ELECTORAL OFFICER

STATEMENT OF CASH FLOWS

YEAR ENDED MARCH 31, 2014

	2014	2013
Operating transactions:		
Net operating results	\$ (3,785,459)	\$ (17,631,723)
Non-cash items included in Net Operating Results		
Amortization of Tangible Capital Assets	474,278	599,930
	(3,311,181)	(17,031,793)
(Increase) Decrease in Prepaid Expenses	(19)	291,658
Increase (Decrease) in Accounts Payable and Accrued Liabilities	208,506	(113,490)
Decrease in accrued Vacation Payable	(58,131)	(7,517)
Cash Applied to Operating Transactions	(3,160,825)	(16,861,142)
Capital transactions:		
Acquisition of Tangible Capital Assets	(572,859)	(506,800)
Cash Applied to Capital Transactions	(572,859)	(506,800)
Financing transactions:		
Net Financing Provided from General Revenues	3,733,684	17,367,942
Increase in Cash and Equivalents	-	-
Cash, beginning of year	150	150
Cash at End of Year	\$ 150	\$ 150

The accompanying notes and schedules are part of these financial statements.

OFFICE OF THE CHIEF ELECTORAL OFFICER

NOTES TO THE FINANCIAL STATEMENTS

NOTE 1 AUTHORITY

The Office of the Chief Electoral Officer (the “Office”) is operated under the authority of the *Election Act*, the *Election Finances and Contributions Disclosure Act* and the *Senatorial Selection Act*. The net cost of the operations of the Office is borne by the General Revenue Fund of the Province of Alberta. Annual operating budgets are reviewed by the Select Standing Committee on Legislative Offices.

NOTE 2 PURPOSE

The Chief Electoral Officer provides administrative, logistic and financial support for general and special enumerations, general elections and by-elections, and plebiscites in support of the *Election Act* and elections in support of the *Senatorial Selection Act*. The Chief Electoral Officer monitors and records the financial activities of registered parties, constituency associations and candidates to ensure compliance with the *Election Finances and Contributions Disclosure Act*.

NOTE 3 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES AND REPORTING PRACTICES

These financial statements are prepared in accordance with Canadian public sector accounting standards.

a) Reporting Entity

The reporting entity is the Office of the Chief Electoral Officer, for which the Chief Electoral Officer is responsible.

The Office operates within the General Revenue Fund (the “Fund”). The Fund is administered by the Minister of Treasury Board and Finance. All receipts of the Office are deposited into the Fund and all disbursements made by the Office are paid from the Fund.

NOTES TO THE FINANCIAL STATEMENTS

NOTE 3 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES AND REPORTING PRACTICES (continued)

b) Basis of Financial Reporting

Revenues

All revenues are reported on the accrual basis of accounting.

Expenses

Expenses represent the costs of resources consumed during the year on the Office's operations.

Pension costs included in these statements comprise the cost of employer contributions for current service of employees during the year.

Assets

Financial assets of the Office are limited to financial claims such as advances to and accounts receivables from other organizations, employees and other individuals.

Tangible capital assets of the Office are recorded at historical cost. The threshold for capitalizing capital assets is \$5,000. Amortization is calculated on a straight-line basis, over the estimated useful lives of the assets as follows:

Computer hardware and software	3 years
Warehouse Equipment	10 years
Furniture and other office equipment	10 years
Election assets	20% each general election

In the year of addition and disposal, amortization is one-half of the prescribed amounts.

Liabilities

Liabilities represent all financial claims payable by the Office at fiscal year end.

Financial Instruments

As the Office does not have any transactions involving financial instruments that are classified in the fair value category and has no foreign currency transactions, there are no remeasurement gains and losses and therefore a statement of remeasurement gains and losses has not been presented.

NOTES TO THE FINANCIAL STATEMENTS

NOTE 3 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES AND REPORTING PRACTICES (continued)

Net Assets/Net Liabilities

Net assets/liabilities represent the difference between the value of assets held by the Office and its liabilities. Canadian public sector accounting standards require a “net debt” presentation for the statement of financial position in the summary financial statements of the governments. Net debt presentation reports the difference between financial assets and liabilities as “net debt” or “net financial assets” as an indicator of the future revenues required to pay for past transactions and events. The office operates within the government reporting entity, and does not finance its expenditures by independently raising revenues. Accordingly, these financial statements do not report a net debt indicator.

Valuation of Financial Assets and Liabilities

Fair value is the amount of consideration agreed upon in an arm’s length transaction between knowledgeable, willing parties who are under no compulsion to act.

The fair value of cash, accounts receivable and advances, accounts payable and accrued liabilities are estimated to approximate their carrying values.

NOTE 4 TANGIBLE CAPITAL ASSETS

	Equipment	Computer Hardware and Software	Election Assets	Total
Estimated Useful Life	10 years	3 years	5 elections	
Historical Cost				
Beginning of Year	\$ 183,694	\$ 4,274,137	\$ 142,434	\$ 4,600,265
Additions	-	572,859	-	572,859
Disposals, including write-downs	-	-	-	-
	183,694	4,846,996	142,434	5,173,124
Accumulated Amortization				
Beginning of Year	127,623	3,591,131	132,833	3,851,587
Amortization Expense	10,214	464,064	-	474,278
Effect of Disposals	-	-	-	-
	137,837	4,055,195	132,833	4,325,865
Net Book Value at March 31, 2014	\$ 45,857	\$ 791,801	\$ 9,601	\$ 847,259
Net Book Value at March 31, 2013	\$ 56,071	\$ 683,006	\$ 9,601	\$ 748,678

NOTES TO THE FINANCIAL STATEMENTS

NOTE 5 BENEFIT PLANS

The Office participates in the multi-employer pension plans: Management Employees Pension Plan and Public Service Pension Plan. The Office also participates in the multi-employer Supplementary Retirement Plan for Public Service Managers. The expense for the plan is equivalent to the annual contribution of \$260,214 for the year ended March 31, 2014 (2013 – \$195,580). Departments are not responsible for future funding of the plan other than through contribution increases.

At December 31, 2013, the Management Employees Pension Plan reported a surplus of \$50,457,000 (2012 deficiency – \$303,423,000) and the Public Service Pension Plan reported a deficiency of \$1,254,678,000 (2012 – deficiency of \$1,645,141,000). At December 31, 2013, the Supplementary Retirement Plan for Public Service Managers had a deficiency of \$12,384,000 (2012 – deficiency of \$51,870,000).

The Office also participates in two multi-employer Long Term Disability Income Continuance Plans. At March 31, 2014, the Bargaining Unit Plan reported an actuarial surplus of \$75,200,000 (2013 – \$51,717,000) and the Management, Opted Out and Excluded Plan an actuarial surplus of \$24,055,000 (2013 – \$18,327,000). The expense for these two plans is limited to the employer's annual contributions for the year.

NOTE 6 BUDGET (IN THOUSANDS)

The budget shown on the statement of operations is based on the budgeted expenses presented to the Legislative Assembly on April 29, 2014. The following table compares the Office's actual expenditures to the voted budget.

	2013-14 Voted Budget	2013-14 Actual	Unexpended
PROGRAM EXPENSE			
1 Corporate Services	\$ 4,293	\$ 3,376	\$ 917
2 Elections	840	-	840
	5,133	3,376	1,757
CAPITAL INVESTMENT	\$ 550	\$ 573	\$ (23)
Total	\$ 5,683	\$ 3,949	\$ 1,734

NOTES TO THE FINANCIAL STATEMENTS

NOTE 7 CONTRACTUAL OBLIGATIONS

	2014	2013
Obligations under contracts	\$ 2,788,495	\$ 3,563,200

Estimated payment requirements for each of the next 5 years and thereafter are as follows:

2014-15	\$ 927,821
2015-16	923,909
2016-17	651,645
2017-18	142,560
Thereafter	142,560
	\$ 2,788,495

NOTE 8 APPROVAL OF FINANCIAL STATEMENTS

These financial statements were approved by the Chief Electoral Officer.

Schedule 1

OFFICE OF THE CHIEF ELECTORAL OFFICER

SCHEDULE OF EXPENSE DETAILED BY OBJECT

YEAR ENDED MARCH 31, 2014

	2014		2013
	Budget	Actual	Actual
Voted:			
Salaries, wages and employee benefits	\$ 2,622,000	\$ 2,055,560	\$ 2,456,215
Supplies and services	2,511,000	1,320,435	14,652,495
Total voted operating expenses	\$ 5,133,000	\$ 3,375,995	\$ 17,108,710
Statutory:			
Valuation adjustment:			
Amortization of Capital Assets	650,000	474,278	599,930
Provision for Vacation Pay	-	(58,131)	(7,517)
	650,000	416,147	592,413
Total expenses	\$ 5,783,000	\$ 3,792,142	\$ 17,701,123

Schedule 2

OFFICE OF THE CHIEF ELECTORAL OFFICER
SALARY AND BENEFITS DISCLOSURE

YEAR ENDED MARCH 31, 2014

	2014				2013
	Base Salary ⁽¹⁾	Other Cash Benefits ⁽²⁾	Other Non-cash Benefits ⁽³⁾	Total	Total
CURRENT EXECUTIVES					
Senior official					
Chief Electoral Officer	\$ 65,171	\$ 50,864	\$ 19,517	\$ 135,552	\$ 218,763
Executive					
Deputy Chief Electoral Officer	\$ 157,619	\$ 1,850	\$ 42,368	\$ 201,837	\$ 184,031

⁽¹⁾ Base salary includes regular base pay. The position of Chief Electoral Officer was vacant from April 24, 2013 until December 8, 2013.

⁽²⁾ Other cash benefits include vacation payouts and lump sum payments. There were no bonuses paid in 2014.

⁽³⁾ Other non-cash benefits include the office's share of all employee benefits and contributions or payments made on behalf of employees including pensions, health care, dental coverage, group life insurance, short and long term disability plans and auto leases.

Schedule 3

OFFICE OF THE CHIEF ELECTORAL OFFICER
SCHEDULE OF ALLOCATED COSTS

YEAR ENDED MARCH 31, 2014

Program	2014				2013	
	Expenses ⁽¹⁾	Expenses – Incurred by Others Accommodation Costs ⁽²⁾		Legal Costs ⁽²⁾	Amounts not voted ⁽³⁾	Total Expenses
Operations	\$ 3,375,995	\$ 609,146	\$ -	\$ 416,147	\$ 4,401,288	\$18,303,889

⁽¹⁾ Expenses – Directly incurred as per Statement of Operations, excluding valuation adjustment.

⁽²⁾ Cost of accommodation allocated by square meter.

⁽³⁾ Amounts not voted include a provision for vacation pay and amortization cost as per the Statement of Operations.

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