

Findings and Decisions Regarding Investigations Completed in 2018

Dates (Note 1)	Contributor	Recipient	Circumstances (Note 2)	Direct Contribution Amount (Note 3)	Penalty issued by CEO to the Contributor (Note 4)	Return of Contribution by the Recipient (Note 5)
Commenced: January 2016 Concluded: May 2018	N/A	Fahad Khan, Chief Financial Officer for Wildrose Party Candidate Saqib Raja in the Electoral Division of Edmonton-Mill Creek	On September 8, 2015, Saqib Raja, Candidate and Fahad Khan, CFO, filed the candidate's financial statement in which it stated that Canada Prime Marketing and Judicious Professional Solutions Inc. contributions exceeded the imposed limit of \$2,000, in breach of section 19(1) of the EFCDA.	N/A	An administrative penalty in the amount of \$750 was issued to Fahad Khan, Chief Financial Officer.	The penalty was paid May 8, 2018. This information is posted in accordance with section 5.2(3)(a).
Commenced: September 2016 Concluded: April 2018	Canada Prime Marketing	Wildrose Party Candidate Saqib Raja, in the Electoral Division of Edmonton-Mill Creek	Canada Prime Marketing exceeded the contribution limit by \$3,000 in the 2015 General Election, contrary to section 17(1)(b)(ii) of the EFCDA.	\$5,000	An administrative penalty in the amount of \$3,000 was issued to the contributor, Canada Prime Marketing.	The penalty was paid May 8, 2018. This information is posted in accordance with section 5.2(3)(a).
Commenced: February 2017 Concluded: April 2018	Judicious Professional Solutions Inc.	Wildrose Party Candidate Saqib Raja, in the Electoral Division of Edmonton-Mill Creek	Judicious Professional Solutions Inc. exceeded the contribution limit by \$221 in the 2015 General Election, contrary to section 17(1)(b)(ii) of the EFCDA.	\$2,221	An administrative penalty in the amount of \$221 was issued to the contributor, Judicious Professional Solutions Inc.	The penalty was paid May 8, 2018. This information is posted in accordance with section 5.2(3)(a).
Commenced: December 2016 Concluded: December 2018	Calgary & District New Democrat House Society	Alberta New Democratic Party	The Alberta New Democratic Party breached section 35(1) of the EFCDA by accepting a contribution from a prohibited entity (Calgary & District New Democrat House Society) as per section 35 of the EFCDA.	\$484.59	The Alberta New Democratic Party contravened section 35(1) of the EFCDA and the Calgary & District New Democrat House Society contravened section 16(2) of the EFCDA, in that the Society, a prohibited entity, made a contribution. Both have been issued Letters of Reprimand in accordance with section 51(1) of the EFCDA.	This information is posted in accordance with section 5.2(3)(a).

Note 1:

Dates shown refer to the commencement and conclusion of the investigation.

The date commenced will reflect the date shown on the initial complaint, if the investigation was initiated by an external source. If the investigation was initiated by the Chief Electoral Officer, the date the decision was made to investigate will appear.

If an allegation regarding an excessive or prohibited contribution is founded, an investigation is commenced to determine whether the recipient violated the EFCDA. In this case, the date concluded will reflect the date that the investigation into the recipient's acceptance of the contribution was completed and appropriate notice was provided to those involved.

If an allegation is unfounded, the date concluded will reflect the date that appropriate notice was provided to those involved.

Note 2:

Disclosure is limited, by law, to violations that occurred on or after December 10, 2009. Results of investigations of alleged violations that occurred prior to that date cannot be disclosed, in accordance with the confidentiality provisions of the *Election Finances and Contributions Disclosure Act* (the Act).

Section references refer to the *Election Finances and Contributions Disclosure Act* (the Act).

Note 3:

*Section 23 of the Act prescribes that if the individual charge for a ticket to a fundraising function is more than \$50, the expense portion is \$25 and the balance is deemed to be a contribution (*effective to December 31, 2012*).

Note 4:

Several criteria were considered in the assessment of penalties, including:

- Materiality/severity
- Number of violations
- Cooperation/self-reporting
- Due diligence/policies established to ensure compliance

Note 5:

Effective April 22, 2010, the Chief Electoral Officer had statutory authority to order a political entity to return prohibited contributions to the contributor, in accordance with section 51.1 of the Act. Prior to that, the political entity was advised to consider the voluntary return of a prohibited contribution.

An order is made when it is determined that a prohibited corporation made a contribution in violation of the Act. An order does not indicate any finding of statutory violation on the part of the political entity by the Chief Electoral Officer.

This information is updated to reflect contributions that have been returned.